

CSE CAPITAL · GOVERNANCE PUBLICATION

CSE Regulatory Design Principles v1.0

Decision Support Architecture Statement

Governance ID	CSE-GOV-001	Version	1.0
Title	Regulatory Design Principles	Status	Published
Owner	CSE Capital	Classification	Public
Created	27 July 2026	Last updated	27 July 2026
Issue date	2026-07-27	Source timestamp	2026-07-26 22:49 UTC

DOCUMENT NOTICE

This document describes the intended architectural and product design principles of CSE Option Decision OS. It does not constitute legal advice or a legal determination of regulatory status. Compliance with applicable laws and regulations will be assessed and maintained in each jurisdiction where CSE Capital operates.

01

Purpose

This document defines the permanent regulatory design philosophy of CSE Option Decision OS. It establishes the architectural boundaries that govern the platform today and guide all future development. CSE is designed as professional decision support software. It is not designed to replace the judgement of the user or to provide discretionary investment services.

02

Core Principles

01

Decision Support Only

CSE provides structured market intelligence, analytical frameworks and decision support. The final investment decision always belongs to the user.

02

No Investment Advice

CSE does not provide personalised investment advice, recommendations or buy/sell instructions.

03

No Trade Execution

CSE never executes trades on behalf of users and will never contain one-click trading functionality.

04

External Broker Independence

Users execute every transaction directly through their own independent broker.

05

Informational Broker Integration

Any broker connection exists solely to display positions, portfolio context, unrealised P&L and exposure within the decision framework.

06

No Custody

CSE never holds client assets, client cash or securities.

07

Transparent Rule-Based Engine

All outputs are generated from predefined deterministic rules and calculations. Runtime decisions are not produced by AI models.

08

Portfolio Context

Portfolio analytics are intended to improve decision quality and risk awareness, not to instruct users to enter or exit positions.

09

Global Compliance Mindset

CSE aims to operate internationally while respecting applicable local laws and regulations. Product design should remain within the scope of decision-support software wherever possible.

10

User Responsibility

Every user remains solely responsible for verifying information, assessing suitability and placing orders with their chosen broker.

03

Positioning Statement

CSE Option Decision OS is a professional Decision Intelligence Platform for options traders. It helps users understand market structure, risk, timing and portfolio context so they can make better-informed decisions. CSE assists the decision process; it never replaces it.

04

Official Short Statement

“Your broker executes. CSE helps you decide.”

“CSE never holds your money. CSE never executes your trades. CSE helps you make better decisions.”

05

Governance Commitment

These principles are intended to remain permanent unless formally superseded by a future version approved by CSE Capital leadership.

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